
Scheme of Delegation

Adopted by the Board of Trustees on 26th September 2016

1. Introduction

This Scheme of Delegation has been adopted by the Trustees in accordance with the provisions of the Articles of Association (the “Articles”) and it should be read in conjunction with those Articles and any terms used in the Articles.

The Scheme of Delegation will apply to all academies for which Sussex Learning Trust is responsible.

The Trustees have overall responsibility and ultimate decision making authority for all the work of the Trust, including the establishment and running of academies. The responsibilities of the Trustees are set out at Appendix A.

In order to discharge these responsibilities, the Trustees appoint people who are more locally based to serve on the Local Governing Body (LGB) which has been established to ensure the good governance of each academy. The LGB is formally a committee of the Trustees.

The role of the LGB is an important one. LGBs carry out their functions on behalf of the Trustees and in accordance with the Trust’s policies. The Trust wishes to avoid the duplication of governance and for decisions to be made as close to the impact of decision making as possible.

The Trust therefore wishes LGBs to have considerable autonomy to act in the best interests of their academy, within the framework and support provided by the Trust.

2. Composition of Local Governing Bodies

Each LGB comprises of twelve Governors, including:

- The Headteacher of the academy
- Two parents/guardians of a pupil at the academy, elected by parents/guardians of the academy
- Two employees of the academy, of whom one is a teacher and one is a non-teacher, elected by employees of the academy
- Five individuals appointed by the LGB
- Two individuals appointed by the Trustees

All Governors (except the Headteacher) serve a term of office of four years. Subject to remaining eligible to be a Governor, any Governor may be re-elected or re-appointed at the end of their term.

A Governor's term of office will be terminated if:

- Any event or circumstance occurs which would disqualify him/her from the office of Trustee under the Articles were they to hold such office
- He/she has, without the consent of the LGB, failed to attend LGB meetings for a continuous period of six months
- He/she resigns from office by notice to the Chief Executive
- He/she is removed from office by the Trustees if, following consultation with the Chair of the LGB, the Trustees are satisfied that he/she is not meeting the requirements of the Role Description for Governors

3. Representation on the Trustees

Each LGB shall be represented on the Trustees.

If the Chair or another Governor of the LGB is a Trustee in their own right, this requirement will be deemed to have been met. If no such overlap of roles exists, the Chair of the LGB shall be appointed or co-opted as a Trustee. If the Chair of the LGB does not wish to serve as a Trustee, the LGB shall elect another Governor to fulfil the role.

Members of LGBs who are also Trustees are expected to provide a link between the Trustees and the LGB, encouraging effective governance, co-operation and communication. However, they must act in accordance within the requirements and responsibilities of each role. For example, when attending Trustee meetings, they must act in the interests of the Trust as a whole.

4. Procedures of Local Governing Bodies

(a) Appointment of Chair and Vice Chair

Each LGB shall elect a Chair and Vice Chair, who will serve a term of office of three years, which may be renewed.

The Chair shall chair meetings, and set the agenda, of the LGB as well as providing a link between the Trustees and the LGB. The Vice Chair supports the Chair and deputises for them.

(b) Meetings of LGBs

A LGB must meet in full at least once each half term and is expected to conduct all business at its full meetings. An LGB may not appoint a sub-committee without the authorisation of the Trustees (this does not preclude the establishment of working groups with powers of recommendation to the LGB only).

The LGB will agree its meeting dates at the beginning of the academic year. Written notice of each meeting plus the agenda and papers will be sent by the Clerk at least seven days in advance of the meeting.

All agendas, papers and minutes of the LGB shall be sent to the Clerk to the Trustees, who shall make them available to Trustees as required.

Any three Governors may call a meeting by giving written notice to the Clerk which includes a summary of the business they wish to transact. The Clerk shall convene a meeting of the LGB as soon as reasonably practicable.

In the absence of the Chair and Vice Chair, the LGB shall elect a Governor to act as chair for the meeting concerned.

In the event of a need to make a genuinely urgent decision between meetings on matters falling within the remit of the LGB the Chair (or Vice Chair in their absence) may, in consultation with the Headteacher, take appropriate action on behalf of the LGB. The decisions taken and the reasons for their urgency must be reported to the next meeting of the LGB.

The Chief Executive and Chair of the Trust have the right to attend all meetings of LGBs.

(d) Decision making

The quorum for meetings of the LGB and for any decision is one third of the total numbers of Governors in office at that time (rounded up).

Governors may participate in meetings, and be counted for the purposes of quorum, by means of telephone or video conference provided that they have given sufficient notice of their intention to do so.

Every decision of the LGB shall be on the basis of a majority of votes cast by Governors present. Proxy votes are not permitted. Where there is an equal number of votes, the Chair has a casting vote.

(e) Lead Governors

Each LGB shall appoint from among its members Governors with specific responsibilities which shall include:

- Lead Governor for SEN, Inclusion and Pupil Premium
- Lead Governor for Safeguarding
- Lead Governor for Finance
- Lead Governor for Premises and Health & Safety

5. Conduct of Governors

Governors must act in accordance with the Role Description for Governors adopted by the Trustees.

Governors shall complete a register of their business interests annually. Any Governor who has an interest which conflicts or may conflict with their role as a Governor shall:

- Disclose the fact at the beginning of the LGB meeting (or as soon as it becomes apparent)
- Withdraw from the meeting if, in the eyes of a reasonable person, the interest is so great as to prevent the Governor acting objectively in the interest of the academy

6. Delegation to the LGB

The LGB shall have the roles and responsibilities set out in Appendix B and any other role that the Trustees agree shall be carried out by the LGB and that is communicated in writing to the Chair of the LGB.

The LGB may exercise the powers of the Trust in relation to the academy, subject to:

- Any restrictions in the Companies Act which requires a decision of the Members or the Board (Trustees)
- The Articles of Association
- This Scheme of Delegation
- Policies agreed by the Trustees
- A specific decision of the Trustees

The Trustees and the LGB acknowledge that they each play a crucial role in the governance of the academy and the Trust as a whole and commit to working together in the best interests of the Trust and its academies.

The Trustees and the LGB also acknowledge that the ultimate responsibility and accountability for the operation of the Trust sits with the Trustees. As such, the Trustees are entitled to overrule a decision of the LGB and/or remove or alter delegated powers to the LGB if they consider not doing so would compromise the responsibilities of the Trustees, the discharge of the Trust's statutory, legal or funding responsibilities, or would compromise the responsibilities of the Chief Executive in his capacity as Accounting Officer.

The Chief Executive in his capacity as Accounting Officer may issue a formal direction to a LGB if he believes it is necessary for him to discharge the Accounting Officer's responsibilities. Such directions are to be reported to the Trustees at their next meeting.

Powers to limit the ability of the LGB to discharge its responsibilities in line with this Scheme of Delegation should be used sparingly and with care.

7. Financial matters

The LGB shall consider the annual budget for the subsequent financial year prepared by the academy's Headteacher and submit the agreed budget to the Trustees for ratification in accordance with the timeline specified by the Chief Executive.

A member of the Trust's finance team will attend meetings where the budget is being discussed to provide objective advice to the LGB.

The LGB shall have the power to expend funds of the Trust which relate to the academy as it considers in the best interests of the academy and in accordance with the Trust's finance and procurement policies and the Academies Financial Handbook. The LGB shall have regard to:

- The Objects of the Trust and the restrictions attached to any grant funding
- The priorities identified in the Academy Development Plan
- Financial sustainability and value for money

In line with their duties and responsibilities, the Trustees shall be entitled to determine that a proportion of the budget in respect of the academy be held centrally for the following purposes:

- To be allocated to the provision of central services received by the academy
- To support the development of cross-Trust educational resources
- In pursuance of the Trust's reserves policy
- As otherwise may be determined by the Trustees, acting reasonably and in the interests of the Trust

8. Contractual authority

The LGB is authorised to enter into a contract on behalf of the Trust in so far as it relates to the power of the academy provided that:

- The contract does not exceed the value of £10,000 unless authorised by the Chief Executive
- The contract has been procured in accordance with the Trust's finance and procurement policies and the Academies Financial Handbook
- Consideration has been given as to whether the contract constitutes a related party transaction
- The contract does not relate to the following services unless approved by the Chief Executive: HR and payroll, accountancy, audit, financial management system, education inspection, leadership and CPD, energy, management information system, ICT, telecoms, catering, cleaning, facilities management, capital works, health and safety systems

9. Headteachers

(a) Appointment

Article 107 requires that the Trustees shall appoint the Headteacher of an academy. For each vacancy, an appointment panel will be established, comprising of:

- Three Governors from the LGB (it is expected that one will be the Chair of the LGB)
- The Chief Executive of the Trust (who may delegate their role to another officer of the Trust)
- The Chair of the Trust (who may delegate their role to another Trustee)

The Chair of the appointment panel will be the Chair of the LGB (if a member of the appointment panel) or, in their absence, another Governor from the LGB.

The appointment panel will make a recommendation to the Trustees.

(b) Line management

The day to day line management of the Headteacher will be carried out by the Chief Executive. The annual performance management and objective setting process will be carried out by the Chief Executive and the Chair and Vice Chair of the LGB.

10. Power of intervention by Trustees

The LGB will work closely with the Chief Executive and the Trustees to ensure the good governance of the academy, in accordance with the Scheme of Delegation. The Trustees will respect the rights and ability of the LGB to discharge their responsibilities under the Scheme of Delegation.

However, the Trustees reserve the right to intervene in the work of the LGB, where the Trustees have serious concerns in the running of the Academy, including in the following circumstances:

- The academy has been graded as 'Requires Improvement' or 'Inadequate' by Ofsted
- The academy's academic results are below Government floor targets
- There is insufficient progress being made against educational targets
- There are concerns about financial matters
- There has been a breakdown in the way the academy is managed or governed
- The safety of pupils or staff is threatened

The Trustees may take any action which they consider necessary to improve the matters of serious concern. This may include (but is not limited to):

- Reviewing or removing any power conferred on the LGB under this Scheme of Delegation
- Directing the LGB in its exercise of powers under the Scheme of Delegation
- Appointing additional members of the LGB (including where this would exceed the maximum of 12 Governors) and/or removing members of the LGB
- Removing the Chair and/or Vice Chair from their roles and directly appointing a new Chair and/or Vice Chair

The Trustees must communicate their serious concerns and the reasons for them in writing to the LGB and state the actions they are proposing to take. The LGB will be invited to make comments on the serious concerns and proposed actions. The Trustees must consider the comments of the LGB before making any final decision.

11. Financial functions of the Trustees

The Trustees shall appoint a Lead Trustee for Resources who shall have lead non-executive responsibility for the resources and finance functions of the Trustees and liaise with the Trust officers on these matters between formal functions of the Trustees.

The Trustees shall appoint an Audit Committee comprised of four Trustees, who shall discharge the audit responsibilities of the Trust.

12. Review of the Scheme of Delegation

The Scheme of Delegation will be formally reviewed by the Trustees on an annual basis. To protect the roles of LGBs, if the Trustees are minded to make changes to the Scheme of Delegation as part of this review, they shall communicate the proposed changes to each LGB and invite their comments. The Trustees must consider the comments of the LGBs before making any final decision.

Appendix A – Key functions of the Trustees

The Trustees have overall responsibility and ultimate decision making authority for all the work of the Trust, including the establishment and running of academies. Almost all governance functions relating to individual academies are delegated to LGBs in accordance with this Scheme of Delegation.

The Trustees will carry out the following functions.

Strategic direction

- To have responsibility for the management and governance of the Trust
- To set the strategic direction of the Trust, including vision, ethos, philosophy and principles
- To agree the Trust policies
- To make decisions about the expansion of the Trust

Governance

- To determine the level of delegation to each LGB
- To hold each LGB to account for the governance of the academy and to exercise intervention powers if required
- To develop and support governance across the Trust, including training

Resources

- To have oversight of finance across the Trust
- To formally approve academy budgets, having considered the recommendation of the LGB
- To appoint an Audit Committee to undertake the audit responsibilities of the Trustees
- To determine the Trust central levy
- To oversee the management of the central Trust budget
- To agree the capital works programme of the Trust, including prioritising bids for capital funding
- To identify and procure central services to be delivered on behalf of all academies

Educational standards

- To oversee academy target setting and development plan (SIP role)
- To monitoring and evaluate the performance of each academy
- To ensure school to school support and intervention
- To have oversight of safeguarding and statutory compliance

Staffing

- To appoint and line manage the Chief Executive
- To appoint Headteachers following the recommendation of appointment panels
- To agree the pay policy
- To have oversight of teacher recruitment, training and development

Appendix B – Key functions of the LGB

The LGB is asked to carry out the following functions on behalf of the Trustees.

Governance

- To champion the Sussex Learning Trust vision and values in the academy
- To hold the Headteacher to account for the performance of the academy
- To determine the educational vision and ethos of the academy, reflecting that of the Trust
- To ensure the academy has a medium to long term vision for its future and a robust strategy for achieving it
- To appoint from its number the Chair, Vice Chair and Lead Governors
- To appoint a Clerk
- To review and amend the policies of the academy (in line with any prescribed Trust policy)
- To ensure the academy receives and reacts to pupil, parental and feedback
- To implement the Trust complaints policy and hear complaints at the relevant stage
- To establish and maintain a relationship with the local community

Finance and contracts

- To approve the annual budget of the academy for submission to the Trustees
- To monitor the in-year budget performance of the academy
- To ensure proper financial controls are in place in the academy
- To maintain a register of Governors' business interests
- To ensure provision of free school meals to those pupils meeting the criteria
- To enter into contracts up to the limits of delegation and within an agreed budget
- To support the Trustees in its monitoring and evaluation of the delivery of central services provided by the Trust

Curriculum and standards

- To approve the curriculum proposed by the Headteacher, ensuring it is consistent with Trust policy
- To ensure effective processes are in place for monitoring the quality assurance of teaching and learning, the curriculum, inclusion and the sharing of best practice
- To monitor performance data reported by the Headteacher relating to educational standards, attainment and progress
- To develop, monitor and approve the Academy Development Plan in tandem with the Self Evaluation Form
- To review and maintain the academy's SEN policy and monitor its implementation
- To monitor the impact of the Pupil Premium and other grants (such as Sports Premium) in the academy

Safeguarding

- To ensure the safety and wellbeing of the academy's pupils
- To review and maintain a safeguarding and child protection policy and monitor its implementation
- To ensure the completion of the single central record

Behaviour and attendance

- To review and maintain a behaviour policy and monitor its implementation
- To convene a Discipline Panel to review the exclusion of a pupil by the Headteacher
- To review attendance and pupil absence data

Admissions

- To undertake consultation, publish admissions and determine arrangements as required in accordance with the School Admissions and Appeals Codes (any admissions policies giving preferential rights to children at another Trust academy require the approval of the Trustees)
- To ensure effective arrangements are in place for pupil recruitment and contribute to the development of the academy prospectus

Staffing

- To participate in the appointment of the Headteacher as set out in the Scheme of Delegation
- To take part in the performance management of the Headteacher as set out in the Scheme of Delegation
- To support the Headteacher in the development and review of an appropriate staffing structure for the academy
- To ensure that the Trust's policies on all HR matters are implemented in the Academy

Premises and Health & Safety

- To review the risk register of the academy
- To adopt a health and safety policy for the academy (in line with Trust policy)
- To review any health and safety issues and the security of premises and equipment
- To contribute to the development of the Trust's capital works programme