



**Shelter Cluster Yemen**  
ShelterCluster.org  
Coordinating Humanitarian Shelter

# Shelter Cluster Meeting for 2<sup>nd</sup> HPF SA

13 November 2019

10:00 AM – 12:00 AM

### Three lines of effort have been prioritized:

1. Critical gaps in first and second line of response of HPR: - USD 93.8 million
  - a) Protection (\$12m), **b) IDP response (\$49.65m)**, c) livelihood (\$23m), d) WASH (\$9.15M)
2. Pre-positioning of common critical emergency items (\$15m)
3. Supporting capacity building for NNGO (\$1m)

The proposals submitted by the shelter partners under the first line “critical gaps of IDPS” amounted to 56 M over-exceeding the recommended 16 M.

The total amount proposed was **110 M** for the overall 2 SA YHF,

YHPF Advisory Board allocated **\$18 M** for Shelter Cluster.

After several screenings, **16 projects** have been considered (16 partners) for a reduced amount of 21 M.

### Shelter Cluster process & criteria

- OCHA Eligible Partners List
- Partners were invited to submit their proposal in consultation with the Sub National Clusters to ensure coordinated planning for addressing the most urgent needs. First deadline was 19 Oct, extended to 22 Oct.
- All the proposals were received through KOBO and, actually, some also via email exceptionally, to facilitate the process.
- The proposals were under the first screening done in consultation with the Sub National Clusters. Some projects were cut off because not focused on the targeted areas/sites with the highest urgent gaps.
- The second screening was done in consultation with other Clusters: CCCM, Wash, Protection, Health, Education, Food Security and Agriculture, identifying and prioritizing projects multisectoral integrated which may result more effective with higher impact. At this stage some proposals were cut off either because over budgeted (total amount between the cluster over exceed the ceiling) or because not consistent with intersectoral approach and the existing resources.
- The selected proposals were revised in term of budget as the unit costs were not observed or aligned to the Shelter Cluster guidance in some cases.

### Defense Outcome

- The AB supported the initiative of TWiG to improve further the Emergency Shelter Kit customized to different locations in Yemen
- The AB invited to speed up and complete in advance the winterization support through cash modality based on needs assessment/list of beneficiaries, given the upcoming winter season.
- The AB suggested to ensure strong community engagement and clear information on beneficiaries selection criteria for the rehabilitation of house, small components, to avoid tension between the potential beneficiaries.
- The AB requested to take out partially the livelihood projects because overlapping with Food Security and Agriculture Cluster request.

### Points to consider:

- are aligned with the strategic priorities of the HRP 2019;
- meet the strategic priorities of the clusters for this allocation, as endorsed by the AB on 13 October and finalized on 7 November;
- design of proposed intervention demonstrates strong expertise in the relevant cluster
- include a recent needs assessment that responds to gaps identified in the cluster strategy;
- can be implemented within the period of up to 12 months maximum; exceptionally up to 15 months for specific projects recommended by the clusters and up to 24 months for capacity building projects (third line of effort);
- include gender and protection mainstreaming component;
- proposed intervention has scored the project against the Gender and Age Marker
- demonstrate accountability to the affected population with an operational feedback and complaint mechanisms, involvement of the population in the design of the project and mechanisms to communicate with beneficiaries;
- demonstrate inclusive programming;
- clearly identify risks and outline mitigation strategies : articulated possible risks (and mitigation measures) related to project delivery with current physical access throughout the geographic area of activities;
- have clearly elaborated and adequate monitoring plan which provides clear and coherent information on how the planned activities will be monitored and has budgeted for dedicated monitoring capacity;

### Points to consider:

- beneficiary prioritization and selection is based on strict targeting criteria as agreed by the clusters and in-line with the allocation objectives
- logframe logical with SMART indicators: do activities lead to outputs, and outputs to clearly articulated and coherent outcomes
- factor in possible delays in project implementation and adjust their workplans (complements the logframe) and budgets accordingly, including by amending project operational costs to a reasonable timeframe.
- demonstrate cost-effectiveness where: a) for comparable activities and outputs, the total cost is less; b) the cost per beneficiary ratio is reasonable; c) support costs are reasonable and in line with accepted levels for a given activity; and d) the proposed period of implementation represents the best use of resources at that time.
- budget narrative is clear and a detailed breakdown has been included as per the YHF budget guidelines
- budget is clearly linked with the activities and outputs proposed in the project log-frame
- ratio of staffing vs. overall project cost is reasonable (max 20% staffing cost)
- budget has a reasonable ratio of support vs direct cost (max 20% support costs)
- demonstrated that it has coordinated the interventions with other stakeholders in the project area with a view to avoid duplication of activities

### Points to consider:

- partners ranked as high-risk should only directly implement their programmes and not use sub-implementing partners, while medium and low risk partners can.
- only YHF partners ranked as low risk may submit proposals for the capacity building third line of effort.
- report on a regular basis to the Clusters and actively participate in the coordination mechanisms in Sana'a and at field level.
- projects should have received prior endorsement by the national and sub-national Clusters. Partners should submit evidence of this by the time of submission (email to be uploaded in the GMS document depository).
- partners may not submit more than three (3) proposals in total<sup>1</sup>.
- as per the YHF operational modalities, high-risk partners can apply for a maximum of USD 1.5 million per project (and hold a maximum of USD 3 million in active grant at the same time), medium-risk partners for a maximum of USD 2.5 million per project while low-risk partners do not have a ceiling. Please refer to the YHF Operational Manual for further details<sup>2</sup>.
- the HFU will review projects according to their strategic and programmatic relevance, cost effectiveness, engagement in coordination, mainstreaming of cross-cutting issues and monitoring mechanisms.

## Timeline

Activity	Indicator	Target (HHs)	Target (People)	Unit Cost (Kit/HHs)	Unit Cost (People)	Budget USD
Constructing and rehabilitating transitional shelters in areas where families require protection and additional support	# of people supported with new transitional shelter	1,460	10,220	\$680	\$86	\$992,800
Distributing household and emergency shelter kits and where appropriate, winterization grants	# of people assisted with emergency shelter kits	9,665	67,655	\$303	\$43	\$2,928,495
	# of people assisted with household items	33,079	231,553	\$230	\$33	\$7,608,170
	# of people assisted with winterization cash grant	4,188	29,316	\$265	\$38	\$1,109,820
Providing maintenance support and shelter upgrades	# of people assisted through shelter maintenance activities	500	3,500	\$65	\$9	\$32,500
	# of people supported through shelter upgrade activities	402	2,814	\$65	\$9	\$26,130
Providing rental subsidies and where appropriate cash grants to rehabilitate damaged houses	# of people living in damaged houses supported with rehabilitation cash grant	125	875	\$2,300	\$329	\$287,500
	# of people assisted through cash for rental subsidies	7,270	50,890	\$690	\$148	\$5,016,300
<b>Total</b>		<b>56,689</b>	<b>396,823</b>			<b>\$18m</b>

## Timeline

Key Date	Phase	Step	What	Who
7 Nov	Preparation	Clusters defend dossiers; AB decides on allocations	Draft Allocation Strategy	Clusters
9 Nov		AB approves SA strategy	Allocation Strategy	HC, AB
11 Nov	Proposal Development and Review	<b>OCHA launches SA2</b>	<b>Proposals</b>	<b>Partners</b>
22 Nov		<b>Partners submit proposals by 17:00 Sanaa time</b>	<b>Proposals</b>	<b>Partners</b>
22-23 Nov		HFU vets proposals	Proposals	OCHA
24-28 Nov		OCHA, Hubs, Clusters read proposals	Proposals	OCHA, Clusters
1-5 Dec		Strategic and Technical Teams review proposals using a scorecard <sup>3</sup>	Review	OCHA, Clusters
6-7 Dec		HC pre-approves recommended projects	Pre-approval	HC, AB, OCHA
7-21 Dec		Partners adjust proposals as necessary; OCHA clears	Revision and Clearance	Partners, OCHA
From 14 Dec	Approval	OCHA prepares First Grant Agreement (GA); HC and partners sign	GA Preparation	OCHA
From 23 Dec	Disbursement	First Grant Agreement final clearance and first disbursement	GA Cleared and Signed	OCHA



**THANK YOU**  
**for your participation!**